

# Cost Maintenance Factor

Portfolio Perspective Interactive Edition

**Case Name:** INVESTOR V. BROKERAGE FIRM

## Cost Maintenance Factor

| Account  | Account Name     | Date Range            | Commissions  | Mrg.Int.&Fees | Avg. Mkt.Val. | Avg. Equity   | Entire Date Range |           | Annualized |           |
|----------|------------------|-----------------------|--------------|---------------|---------------|---------------|-------------------|-----------|------------|-----------|
|          |                  |                       |              |               |               |               | By Equity         | By Market | By Equity  | By Market |
| AB-12346 | JOHN Q. INVESTOR | 2/8/1999 - 4/30/2002  | \$ 17,700.53 | \$ 12,967.61  | 172,570.94    | \$ 137,781.87 | 22.26%            | 17.77%    | 6.85%      | 5.47%     |
| AB-12346 | JOHN Q. INVESTOR | 2/8/1999 - 12/31/1999 | \$ 2,639.80  | \$ 5,860.44   | 319,359.46    | \$ 246,678.17 | 3.45%             | 2.66%     | 3.76%      | 2.90%     |
| AB-12346 | JOHN Q. INVESTOR | 1/1/2000 - 12/31/2000 | \$ 8,135.84  | \$ 5,558.81   | 237,559.65    | \$ 193,348.99 | 7.08%             | 5.76%     | 7.08%      | 5.76%     |
| AB-12346 | JOHN Q. INVESTOR | 1/1/2001 - 12/31/2001 | \$ 6,354.30  | \$ 1,355.29   | 27,344.80     | \$ 27,453.40  | 28.08%            | 28.19%    | 28.08%     | 28.19%    |
| AB-12346 | JOHN Q. INVESTOR | 1/1/2002 - 4/30/2002  | \$ 570.59    | \$ 193.07     | 9,614.78      | \$ 2,601.16   | 29.36%            | 7.94%     | 88.08%     | 23.83%    |

## Cost Maintenance Factor

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12346

| Month Ended | Market Value | Equity       | Commissions | Margin Interest & Fees |
|-------------|--------------|--------------|-------------|------------------------|
| 02/26/1999  | \$0.00       | \$20,954.80  | \$0.00      | \$0.00                 |
| 03/31/1999  | \$241,551.50 | \$236,371.62 | \$129.50    | \$8.56                 |
| 04/30/1999  | \$286,670.00 | \$235,674.83 | \$276.32    | \$163.97               |
| 05/28/1999  | \$313,050.50 | \$241,608.28 | \$1,067.36  | \$476.19               |
| 06/30/1999  | \$305,328.00 | \$224,281.00 | \$372.63    | \$457.15               |
| 07/30/1999  | \$326,894.80 | \$240,569.82 | \$830.26    | \$604.07               |
| 08/31/1999  | \$332,158.60 | \$214,425.75 | \$548.19    | \$737.58               |
| 09/30/1999  | \$309,568.30 | \$208,775.92 | \$274.20    | \$785.33               |
| 10/29/1999  | \$393,960.62 | \$292,443.80 | \$0.00      | \$724.44               |
| 11/30/1999  | \$458,586.72 | \$356,399.92 | \$0.00      | \$753.98               |
| 12/31/1999  | \$545,185.05 | \$441,954.08 | \$0.00      | \$1,149.17             |
| 01/31/2000  | \$441,482.00 | \$359,572.38 | \$302.70    | \$500.95               |
| 02/29/2000  | \$484,466.57 | \$401,991.70 | \$0.00      | \$661.25               |
| 03/30/2000  | \$0.00       | \$536.50     | \$428.16    | \$505.72               |
| 04/30/2000  | \$0.00       | \$0.00       | \$0.00      | \$129.93               |
| 05/31/2000  | \$246,152.37 | \$164,468.73 | \$0.00      | \$243.12               |
| 06/30/2000  | \$323,949.86 | \$275,075.31 | \$0.00      | \$540.91               |
| 07/31/2000  | \$295,985.81 | \$246,658.15 | \$0.00      | \$453.11               |
| 08/31/2000  | \$332,033.81 | \$281,256.24 | \$489.81    | \$474.19               |
| 09/29/2000  | \$393,071.85 | \$313,227.36 | \$493.10    | \$548.82               |
| 10/31/2000  | \$301,838.19 | \$178,733.14 | \$408.55    | \$889.51               |
| 11/30/2000  | \$16,313.90  | \$61,083.20  | \$3,456.11  | \$611.30               |
| 12/29/2000  | \$15,421.40  | \$37,585.12  | \$1,779.53  | \$0.00                 |
| 01/31/2001  | \$98,606.13  | \$110,850.03 | \$234.66    | \$0.00                 |
| 02/28/2001  | \$-33,929.00 | \$33,513.90  | \$1,067.60  | \$0.00                 |
| 03/30/2001  | \$-19,947.95 | \$27,371.31  | \$1,073.57  | \$0.00                 |

### Cost Maintenance Factor

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12346

| Month Ended | Market Value | Equity      | Commissions | Margin Interest & Fees |
|-------------|--------------|-------------|-------------|------------------------|
| 04/30/2001  | \$-13,320.40 | \$24,483.73 | \$1,636.16  | \$0.00                 |
| 05/31/2001  | \$79,355.00  | \$48,451.34 | \$1,077.07  | \$126.33               |
| 06/29/2001  | \$51,780.80  | \$20,331.06 | \$958.37    | \$370.21               |
| 07/31/2001  | \$42,846.04  | \$11,171.13 | \$0.00      | \$225.17               |
| 08/31/2001  | \$30,066.00  | \$10,763.92 | \$226.09    | \$205.72               |
| 09/28/2001  | \$19,954.00  | \$6,592.46  | \$0.00      | \$144.46               |
| 10/31/2001  | \$22,313.00  | \$10,133.40 | \$0.00      | \$92.06                |
| 11/30/2001  | \$26,681.00  | \$14,416.44 | \$0.00      | \$84.96                |
| 12/31/2001  | \$23,733.00  | \$11,362.06 | \$0.00      | \$106.38               |
| 01/31/2002  | \$17,540.40  | \$5,192.48  | \$264.95    | \$55.03                |
| 02/28/2002  | \$10,720.20  | \$1,408.70  | \$0.00      | \$63.58                |
| 03/28/2002  | \$8,791.30   | \$2,558.14  | \$110.54    | \$44.12                |
| 04/30/2002  | \$1,407.20   | \$1,245.30  | \$195.10    | \$30.34                |

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**Total Months: 39**                      **\$6,730,266.57**                      **\$5,373,493.05**                      **\$17,700.53**                      **\$12,967.61**  
**Avg Equity: \$137,781.87**

|   |             |          |
|---|-------------|----------|
| <u>Commission to Market Value Ratio</u> | <b>3.15</b> | <b>%</b> |
| <u>Commission to Equity Ratio</u>       | <b>3.95</b> | <b>%</b> |

|                                   |             |          |
|-----------------------------------|-------------|----------|
| <u>Cost to Market Value Ratio</u> | <b>5.46</b> | <b>%</b> |
| <u>Cost to Equity Ratio</u>       | <b>6.84</b> | <b>%</b> |